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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,381	11/26/2001	Alfred Schwandner	100-965

RECEIVED

JAN 28 2002

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Austin, TX 78746

CONFIRMATION NO. 7270

FORMALITIES LETTER



\*OC000000007332368\*

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. ~~\_\_\_\_\_~~ \$370.00  
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$568.
  - \$288 for 16 total claims over 20. 144.00
  - \$280 for multiple dependent claim surcharge. \$140.00
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1438.

\$ 65.00

BP  
370.00 BP  
65.00 BP  
140.00 BP  
144.00 BP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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03/27/2002 HNDDR1

01 FC:201  
02 FC:205  
03 FC:204  
04 FC:203



HH  
MP ✓  
PATENT  
100-965

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Alfred Schwandner *et al.*

Serial No.: 09/994,381

Group Art Unit: 2633

Filed: November 26, 2001

Examiner: Unknown

FOR: A METHOD AND DEVICE FOR  
CHECKING THE OPERATIVENESS  
OF AN OPTICAL TRANSMISSION  
LINK

Office of Initial Patent Examinations  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing in the above-identified Application are the following items:

1. Copy of the Notice to File Missing Parts of Nonprovisional Application
2. Charge Card Payment Form in the amount of \$719.00 (\$370 filing fee; \$144.00 additional claims fee; \$140 multiple dependent claims surcharge; \$65 declaration surcharge)
3. Declaration and Power of Attorney
4. Stamped, self-addressed postcard to be returned.

This application and the invention claimed therein are owned by a small business entity and thus Applicants assert small entity status pursuant to 37 C.F.R. §1.27(c).

Should any additional fees be due to provide a proper response to the Notice to File Missing Parts in this applicaiton, please charge such fee to Deposit Account No. 19-1453 (Our File No. 100-965).

Respectfully submitted,

SHAFFER & CULBERTSON, L.L.P.

Date: March 18, 2002

By: 

Russell D. Culbertson, Reg. No. 32,124

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CERTIFICATE OF MAILING

I hereby certify that this foregoing correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Office of Initial Patent Examinations, Customer Service Center, Commissioner for Patents, Washington, DC 20231 on March 18, 2002.

  
Reg. No. 32,124; Russell D. Culbertson